National Semiconductor

MM54HC4046/MM74HC4046 CMOS Phase Lock Loop

General Description

The MM54HC4046/MM74HC4046 is a low power phase lock loop utilizing advanced silicon-gate CMOS technology to obtain high frequency operation both in the phase comparator and VCO sections. This device contains a low power linear voltage controlled oscillator (VCO), a source follower, and three phase comparators. The three phase comparator input. The signal input and a common comparator input. The signal input has a self biasing amplifier allowing signals to be either capacitively coupled to the phase comparators with a small signal or directly coupled with standard input logic levels. This device is similar to the CD4046 except that the Zener diode of the metal gate CMOS device has been replaced with a third phase comparator.

Phase Comparator I is an exclusive OR (XOR) gate. It provides a digital error signal that maintains a 90 phase shift between the VCO's center frequency and the input signal (50% duty cycle input waveforms). This phase detector is more susceptible to locking onto harmonics of the input frequency than phase comparator I, but provides better noise rejection.

Phase comparator III is an SR flip-flop gate. It can be used to provide the phase comparator functions and is similar to the first comparator in performance.

Phase comparator II is an edge sensitive digital sequential network. Two signal outputs are provided, a comparator output and a phase pulse output. The comparator output is a TRI-STATE® output that provides a signal that locks the VCO output signal to the input signal with 0 phase shift

between them. This comparator is more susceptible to noise throwing the loop out of lock, but is less likely to lock onto harmonics than the other two comparators.

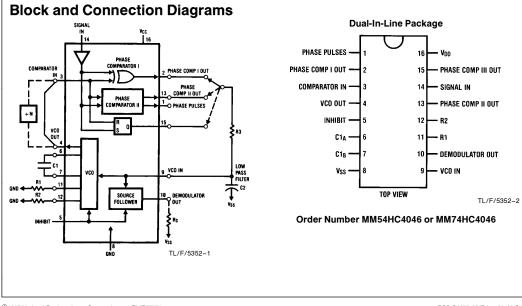
In a typical application any one of the three comparators feed an external filter network which in turn feeds the VCO input. This input is a very high impedance CMOS input which also drives the source follower. The VCO's operating frequency is set by three external components connected to the C1A, C1B, R1 and R2 pins. An inhibit pin is provided to disable the VCO and the source follower, providing a method of putting the IC in a low power state.

The source follower is a MOS transistor whose gate is connected to the VCO input and whose drain connects the Demodulator output. This output normally is used by tying a resistor from pin 10 to ground, and provides a means of looking at the VCO input without loading down modifying the characteristics of the PLL filter.

Features

Low dynamic power consumption	(V _{CC} =4.5V)
Maximum VCO operating frequency:	12 MHz
(V _{CC} =4.5V)	
■ Fast comparator response time (V _{CC} =4.5)	/)
Comparator I:	25 ns
Comparator II:	30 ns
Comparator III:	25 ns
VCO has high linearity and high temperature	re stability

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Absolute Maximum Ratings (Notes 1 & 2)

If Military/Aerospace specified devices are required, please contact the National Semiconductor Sales Office/Distributors for availability and specifications. Supply Voltage (V_{CC}) -0.5 to +7.0V DC Input Voltage (V_{IN}) -1.5 to V_{CC} +1.5V

-0.5 to $V_{\mbox{CC}}$ + 0.5V

±20 mA ±25 mA

±50 mA -65°C +150°C

600 mW

500 mW

260°C

DC Output Voltage (VOUT)

Power Dissipation (P_D) (Note 3)

S.O. Package only

Lead Temperature (T_L)

(Soldering 10 seconds)

Clamp Diode Current (I_{IK}, I_{OK}) DC Output Current per pin (I_{OUT})

DC V_{CC} or GND Current, per pin (I_{CC})

Storage Temperature Range (T_{STG})

Operating Conditions

	Min	Max	Units
Supply Voltage (V _{CC})	2	6	V
DC Input or Output Voltage	0	V _{CC}	V
(V _{IN} , V _{OUT})			
Operating Temp. Range (T _A)			
MM74HC	-40	+85	°C
MM54HC	-55	+ 125	°C
Input Rise or Fall Times			
$(t_{r}, t_{f}) V_{CC} = 2.0V$		1000	ns
V _{CC} =4.5V		500	ns
V _{CC} =6.0V		400	ns

DC Electrical Characteristics (Note 4)

Symbol	Parameter	Conditions	v _{cc}	T _A =	= 25°C	74HC T _A = -40 to 85°C	54HC T _A =-55 to 125°C	Units	
				Typ Guaranteed Limits					
V _{IH}	Minimum High Level Input Voltage		2.0V 4.5V 6.0V		1.5 3.15 4.2	1.5 3.15 4.2	1.5 3.15 4.2	V V V	
V _{IL}	Maximum Low Level Input Voltage**		2.0V 4.5V 6.0V		0.5 1.35 1.8	0.5 1.35 1.8	0.5 1.35 1.8	V V V	
V _{OH}	Minimum High Level Output Voltage	$V_{IN} = V_{IH} \text{ or } V_{IL}$ $ I_{OUT} \le 20 \ \mu A$	2.0V 4.5V 6.0V	2.0 4.5 6.0	1.9 4.4 5.9	1.9 4.4 5.9	1.9 4.4 5.9	v v v	
		$V_{IN} = V_{IH} \text{ or } V_{IL}$ $ I_{OUT} \le 4.0 \text{ mA}$ $ I_{OUT} \le 5.2 \text{ mA}$	4.5V 6.0V	4.2 5.7	3.98 5.48	3.84 5.34	3.7 5.2	v v	
V _{OL}	Maximum Low Level Output Voltage	$V_{IN} = V_{IH} \text{ or } V_{IL}$ $ I_{OUT} \le 20 \ \mu A$	2.0V 4.5V 6.0V	0 0 0	0.1 0.1 0.1	0.1 0.1 0.1	0.1 0.1 0.1	V V V	
		$V_{IN} = V_{IH} \text{ or } V_{IL}$ $ I_{OUT} \le 4.0 \text{ mA}$ $ I_{OUT} \le 5.2 \text{ mA}$	4.5V 6.0V	0.2 0.2	0.26 0.26	0.33 0.33	0.4 0.4	v v	
I _{IN}	Maximum Input Current (Pins 3,5,9)	$V_{IN} = V_{CC}$ or GND	6.0V		±0.1	±1.0	±1.0	μΑ	
I _{IN}	Maximum Input Current (Pin 14)	V _{IN} =V _{CC} or GND	6.0V	20	50	80	100	μA	
I _{OZ}	Maximum TRI-STATE Output Leakage Current (Pin 13)	$V_{OUT} = V_{CC}$ or GND	6.0V		±0.5	±5.0	±10	μΑ	
Icc	Maximum Quiescent Supply Current	V _{IN} =V _{CC} or GND I _{OUT} =0 μA	6.0V	30	80	130	160	μΑ	
		V _{IN} = V _{CC} or GND Pin 14 Open	6.0V	600	1500	2400	3000	μA	

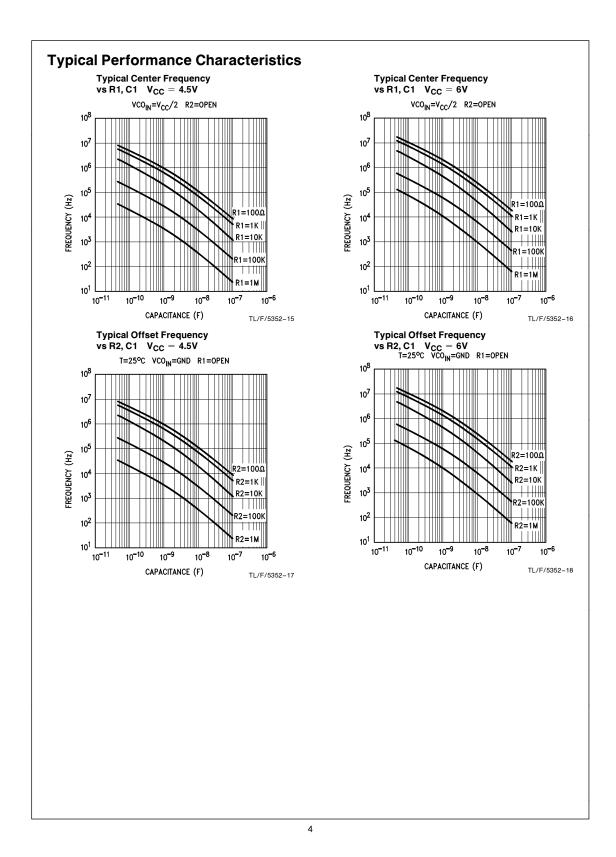
Note 1: Maximum Ratings are those values beyond which damage to the device may occur.

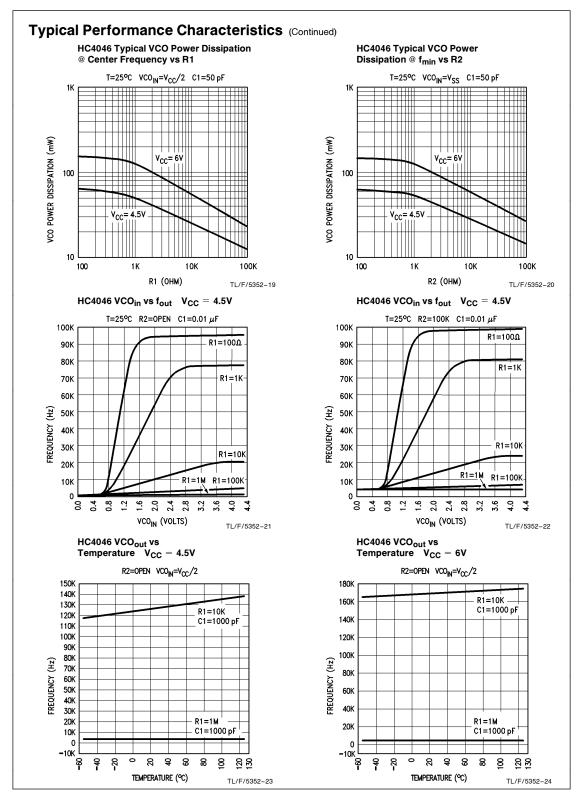
Note 2: Unless otherwise specified all voltages are referenced to ground.

Note 3: Power Dissipation temperature derating — plastic "N" package: $-12 \text{ mW/}^{\circ}\text{C}$ from 65°C to 85°C; ceramic "J" package: $-12 \text{ mW/}^{\circ}\text{C}$ from 100°C to 125°C. Note 4: For a power supply of 5V $\pm 10^{\circ}$ the worst case output voltages (V_{OH}, and V_{OL}) occur for HC at 4.5V. Thus the 4.5V values should be used when designing with this supply. Worst case V_{IH} and V_{IL} occur at V_{CC}=5.5V and 4.5V respectively. (The V_{IH} value at 5.5V is 3.85V.) The worst case leakage current (I_{IN}, I_{CC}, and I_{OZ}) occur for CMOS at the higher voltage and so the 6.0V values should be used.

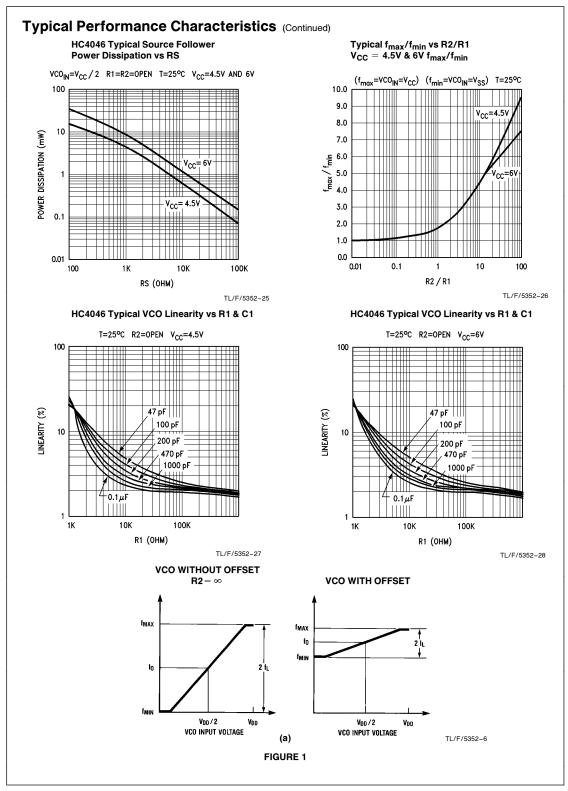
** VIL limits are currently tested at 20% of V_{CC}. The above VIL specification (30% of V_{CC}) will be implemented no later than Q1, CY'89.

Symbol	Deven	Conditions	V.	T=	25C	74HC	54HC	Units
	Parameters	Conditions	V _{CC}	Тур	Gu	aranteed L	imits	Units
	AC Coupled Input Sensitiv- ity, Signal In	C (series) = 100 pF f _{IN} =500 kHz	2.0V 4.5V 6.0V	25 50 135	100 150 250	150 200 300	200 250 350	mV mV mV
t _r , t _f	Maximum Output Rise and Fall Time		2.0V 4.5V 6.0V	30 9 8	75 15 12	95 19 15	110 22 19	ns ns ns
C _{IN}	Maximum Input Capacitance		7					pF
Phase Comp	parator I							
t _{PHL} , t _{PLH}	Maximum Prop- agation Delay		2.0V 4.5V 6.0V	65 25 20	200 40 34	250 50 43	300 60 51	ns ns ns
Phase Comp	parator II	•						
t _{PZL}	Maximum TRI- STATE Enable Time		2.0V 4.5V 6.0V	75 25 22	225 45 38	280 56 48	340 68 57	ns ns ns
t _{PZH} , t _{PHZ}	Maximum TRI- STATE Enable Time		2.0V 4.5V 6.0V	88 30 25	240 48 41	300 60 51	360 72 61	ns ns ns
t _{PLZ}	Maximum TRI- STATE Disable Time		2.0V 4.5V 6.0V	90 32 28	240 48 41	300 60 51	360 72 61	ns ns ns
t _{PHL} , t _{PLH}	Maximum Prop- agation Delay High to Low to Phase Pulses		2.0V 4.5V 6.0V	100 34 27	250 50 43	310 63 53	380 75 64	ns ns ns
Phase Comp	barator III							
t _{PHL} , t _{PLH}	Maximum Prop- agation Delay		2.0V 4.5V 6.0V	75 25 22	200 40 34	250 50 43	300 60 51	ns ns ns
C _{PD}	Maximum Power Dissipation Capacitance	All Comparators $V_{IN} = V_{CC}$ and GND		130				pF
Voltage Con	trolled Oscillator (Spe	cified to operate from Vo		5 6.0V)			1	
f _{MAX}	Maximum Operating Frequency	$\begin{array}{l} C1 = 50 \ \text{pF} \\ R1 = 100\Omega \\ R2 = \infty \\ \text{VCO}_{\text{in}} = \text{V}_{\text{CC}} \end{array}$	4.5V 6.0V	7 11	4.5 7			MHz MHz
		$C1 = 0 \text{ pF}$ $R1 = 100\Omega$ $VCO_{in} = V_{CC}$	4.5V 6.0	12 14				MHz MHz
	Duty Cycle			50				%
Demodulato	Offset Voltage	$R_{s} = 20 \text{ k}\Omega$	4.5V	0.75	1.3	1.5	1.6	V
	VCO _{in} -V _{dem} Offset Variation	$R_{s} = 20 \text{ k}\Omega$ VCO _{in} = 1.75V 2.25V 2.75V	4.5V	0.65 0.1 0.75				v











Compa	arator I	Comparator II & III			
$R_2 = \infty$	R₂≠∞	R ₂ = ∞	R ₂ ≠∞		
–Given: f ₀ –Use f ₀ with curve titled center frequency vs R1, C to determine R1 and C1	$\begin{array}{l} -\text{Given: } f_0 \text{ and } f_L \\ -\text{Calculate } f_{min} \text{ from the} \\ \text{equation } f_{min} = f_0 - f_L \\ -\text{Use } f_{min} \text{ with curve titled} \\ \text{offset } frequency vs R2, C \\ \text{to determine R2 and C1} \\ -\text{Calculate } f_{max}/f_{min} \text{ from} \\ \text{the equation } f_{max}/f_{min} = \\ f_0 + f_L/f_0 - f_L \\ -\text{Use } f_{max}/f_{min} \text{ with curve} \\ \text{titled } f_{max}/f_{min} \text{ vs } R2/R1 \\ \text{to determine ratio } R2/R1 \\ \text{to obtain } R1 \end{array}$	-Given: f _{max} -Calculate f ₀ from the equation f ₀ = f _{max} /2 -Use f ₀ with curve titled center frequency vs R1, C to determine R1 and C1	-Given: f _{min} and f _{max} -Use f _{min} with curve titled offset frequency vs R2, C to determine R2 and C -Calculate f _{max} /f _{min} -Use f _{max} /f _{min} with curve titled f _{max} /f _{min} vs R2/R1 to determine ratio R2/R1 to obtain R1		

FIGURE 1 (Continued)

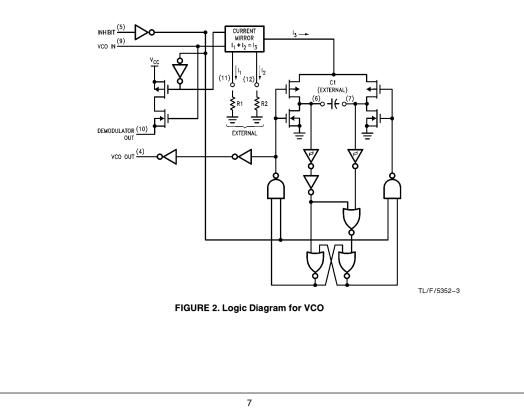
Detailed Circuit Description

VOLTAGE CONTROLLED OSCILLATOR/SOURCE FOLLOWER

The VCO requires two or three external components to operate. These are R1, R2, C1. Resistor R1 and capacitor C1 are selected to determine the center frequency of the VCO. R1 controls the lock range. As R1's resistance decreases the range of f_{min} to f_{max} increases. Thus the VCO's gain decreases. As C1 is changed the offset (if used) of R2, and the center frequency is changed. (See typical performance curves) R2 can be used to set the offset frequency with 0V at VCO input. If R2 is omitted the VCO range is from 0Hz. As R2 is decreased the offset frequency is increased. The ef-

fect of R2 is shown in the design information table and typical performance curves. By increasing the value of R2 the lock range of the PLL is offset above 0Hz and the gain (Volts/rad.) does not change. In general, when offset is desired, R2 and C1 should be chosen first, and then R1 should be chosen to obtain the proper center frequency.

Internally the resistors set a current in a current mirror as shown in *Figure 1*. The mirrored current drives one side of



Detailed Circuit Description (Continued)

the capacitor once the capacitor charges up to the threshold of the schmitt trigger the oscillator logic flips the capacitor over and causes the mirror to charge the opposite side of the capacitor. The output from the internal logic is then taken to pin 4.

The input to the VCO is a very high impedance CMOS input and so it will not load down the loop filter, easing the filters design. In order to make signals at the VCO input accessible without degrading the loop performance a source follower transistor is provided. This transistor can be used by connecting a resistor to ground and its drain output will follow the VCO input signal.

An inhibit signal is provided to allow disabling of the VCO and the source follower. This is useful if the internal VCO is not being used. A logic high on inhibit disables the VCO and source follower.

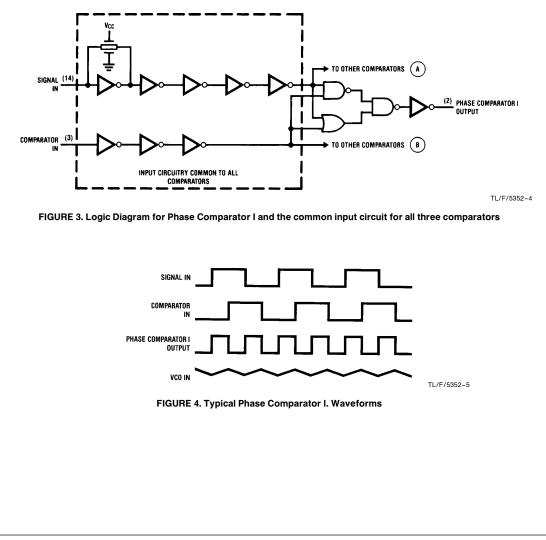
The output of the VCO is a standard high speed CMOS output with an equivalent LSTTL fanout of 10. The VCO

output is approximately a square wave. This output can either directly feed the comparator input of the phase comparators or feed external prescalers (counters) to enable frequency synthesis.

PHASE COMPARATORS

All three phase comparators share two inputs, Signal In and Comparator In. The Signal In has a special DC bias network that enables AC coupling of input signals. If the signals are not AC coupled then this input requires logic levels the same as standard 54HC/74HC. The Comparator input is a standard digital input. Both input structures are shown in *Figure 3*.

The outputs of these comparators are essentially standard 54HC/74HC voltage outputs. (Comparator II is TRI-STATE.)



Detailed Circuit Description (Continued)

Thus in normal operation V_{CC} and ground voltage levels are fed to the loop filter. This differs from some phase detectors which supply a current output to the loop filter and this should be considered in the design. (The CD4046 also provides a voltage.)

Figure 5 shows the state tables for all three comparators.

PHASE COMPARATOR I

This comparator is a simple XOR gate similar to the 54/74HC86, and its operation is similar to an overdriven balanced modulator. To maximize lock range the input frequencies must have a 50% duty cycle. Typical input and output waveforms are shown in *Figure 4*. The output of the phase detector feeds the loop filter which averages the output voltage. The frequency range upon which the PLL will lock onto if initially out of lock is defined as the capture range. The capture range for phase detector I is dependent on the loop filter employed. The capture range can be as large as the lock range which is equal to the VCO frequency range.

To see how the detector operates refer to *Figure 4*. When two square wave inputs are applied to this comparator, an output waveform whose duty cycle is dependent on the phase difference between the two signals results. As the phase difference increases the output duty cycle increases and the voltage after the loop filter increases. Thus in order to achieve lock, when the PLL input frequency increases the

Phase Comparator State Diagrams

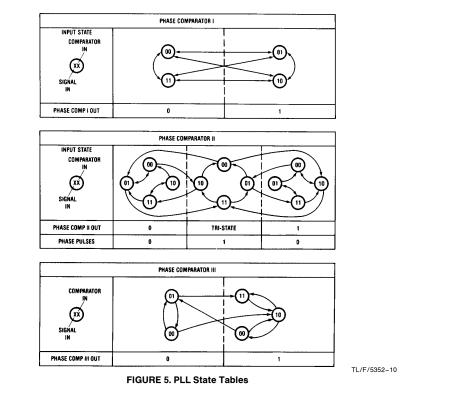
VCO input voltage must increase and the phase difference between comparator in and signal in will increase. At an input frequency equal f_{min} , the VCO input is at 0V and this requires the phase detector output to be ground hence the two input signals must be in phase. When the input frequency is f_{max} then the VCO input must be V_{CC} and the phase detector inputs must be 180° out of phase.

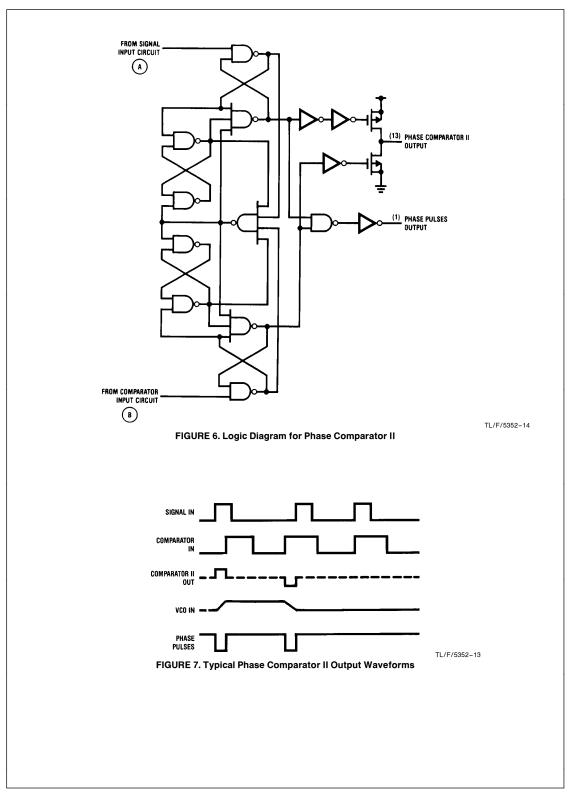
The XOR is more susceptible to locking onto harmonics of the signal input than the digital phase detector II. This can be seen by noticing that a signal 2 times the VCO frequency results in the same output duty cycle as a signal equal the VCO frequency. The difference is that the output frequency of the 2f example is twice that of the other example. The loop filter and the VCO range should be designed to prevent locking on to harmonics.

PHASE COMPARATOR II

This detector is a digital memory network. It consists of four flip-flops and some gating logic, a three state output and a phase pulse output as shown in *Figure 6*. This comparator acts only on the positive edges of the input signals and is thus independent of signal duty cycle.

Phase comparator II operates in such a way as to force the PLL into lock with 0 phase difference between the VCO output and the signal input positive waveform edges. *Figure* 7 shows some typical loop waveforms. First assume that the signal input phase is leading the comparator input. This





Detailed Circuit Description (Continued)

means that the VCO's frequency must be increased to bring its leading edge into proper phase alignment. Thus the phase detector II output is set high. This will cause the loop filter to charge up the VCO input increasing the VCO frequency. Once the leading edge of the comparator input is detected the output goes TRI-STATE holding the VCO input at the loop filter voltage. If the VCO still lags the signal then the phase detector will again charge up to VCO input for the time between the leading edges of both waveforms.

If the VCO leads the signal then when the leading edge of the VCO is seen the output of the phase comparator goes low. This discharges the loop filter until the leading edge of the signal is detected at which time the output TRI-STATE itself again. This has the effect of slowing down the VCO to again make the rising edges of both waveform coincident.

When the PLL is out of lock the VCO will be running either slower or faster than the signal input. If it is running slower the phase detector will see more signal rising edges and so the output of the phase comparator will be high a majority of the time, raising the VCO's frequency. Conversely, if the VCO is running faster than the signal the output of the detector will be low most of the time and the VCO's output frequency will be decreased.

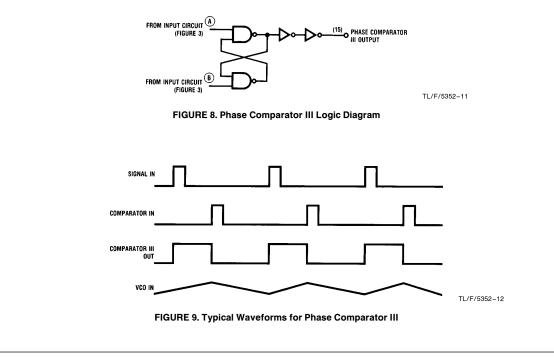
As one can see when the PLL is locked the output of phase comparator II will be almost always TRI-STATE except for minor corrections at the leading edge of the waveforms. When the detector is TRI-STATE the phase pulse output is high. This output can be used to determine when the PLL is in the locked condition.

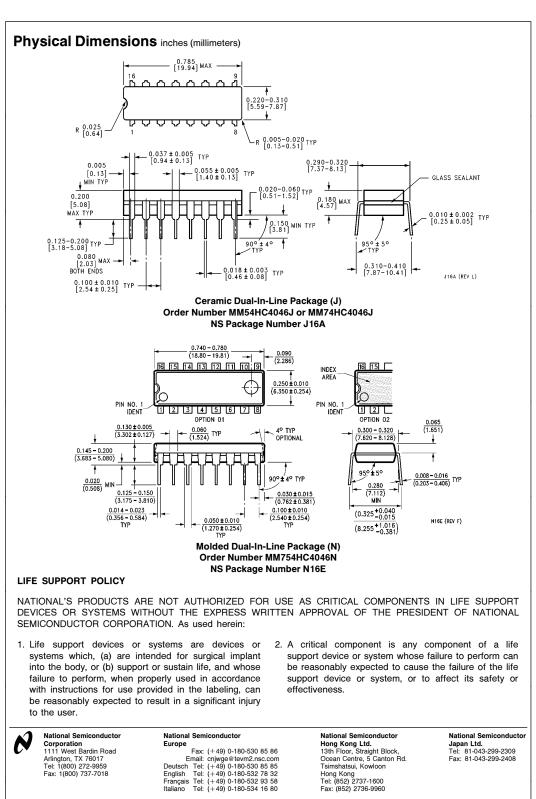
This detector has several interesting characteristics. Over the entire VCO frequency range there is no phase difference between the comparator input and the signal input. The lock range of the PLL is the same as the capture range. Minimal power is consumed in the loop filter since in lock the detector output is a high impedance. Also when no signal is present the detector will see only VCO leading edges, and so the comparator output will stay low forcing the VCO to f_{min} operating frequency.

Phase comparator II is more susceptible to noise causing the phase lock loop to unlock. If a noise pulse is seen on the signal input, the comparator treats it as another positive edge of the signal and will cause the output to go high until the VCO leading edge is seen, potentially for a whole signal input period. This would cause the VCO to speed up during that time. When using the phase comparator I the output of that phase detector would be disturbed for only the short duration of the noise spike and would cause less upset.

PHASE COMPARATOR III

This comparator is a simple S-R Flip-Flop which can function as a phase comparator Figure 8. It has some similar characteristics to the edge sensitive comparator. To see how this detector works assume input pulses are applied to the signal and comparator inputs as shown in Figure 9. When the signal input leads the comparator input the flop is set. This will charge up the loop filter and cause the VCO to speed up, bringing the comparator into phase with the signal input. When using short pulses as input this comparator behaves very similar to the second comparator. But one can see that if the signal input is a long pulse, the output of the comparator will be forced to a one no matter how many comparator input pulses are received. Also if the VCO input is a square wave (as it is) and the signal input is pulse then the VCO will force the comparator output low much of the time. Therefore it is ideal to condition the signal and comparator input to short pulses. This is most easily done by using a series capacitor.





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